## **CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT UNITED STATES HOUSE OF REPRESENTATIVES** For use by Members, officers, and employees Form A

Name:

homas P. Latham

Filer Status

House of Representatives Member of the U.S.

District: State:\_

LOWA

Officer or Employee

**Employing Office:** 

Termination Date:

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2012 MAY 15 PH 4: 35

Daytime Telephone: プロアースストーライプ

against anyone who files more than 30 days late. A \$200 penalty shall be assessed OFFICE OF THE CLERK

### PRELIMINARY INFORMATION — Report Type /Annual (May 15, 2012) **ANSWER EACH OF THESE QUESTIONS** Termination

Amendment

the onse.	vered and Yes" respo	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	No No	Yes	V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.
<b>₹</b>	Yes	IX. Did you have any reportable agreement or arrangement with an outside entity?  If yes, complete and attach Schedule IX.	Yes No	Yes	<ul> <li>IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?</li> <li>If yes, complete and attach Schedule IV.</li> </ul>
₹   <u>X</u>	Yes	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?  If yes, complete and attach Schedule VIII.	No U	Yes	III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.
š X	Yes	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?  If yes, complete and attach Schedule VII.	₹   <u>×</u>	Yes	II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.
₹   <u>X</u>	Yes	reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?  If yes, complete and attach Schedule VI.	N <sub>o</sub>	Yes	fees) of \$200 or more from any source in the reporting period?  If yes, complete and attach Schedule I.

# EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

**TRUSTS**—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? **EXEMPTION**—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes Yes | » × <u>8</u> ×

## **BLOCK A**

Asset and/or Income Source

of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other more than \$200 in "unearned" income during the year. Identify (a) each asset held for investment or production reportable asset or sources of income which generated

not use ticker symbols.) Provide complete names of stocks and mutual funds (do

plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the value at the end of the reporting period the name of the institution holding the account and its For all IRAs and other retirement plans (such as 401(k) ment accounts which are not self-directed, provide only account that exceeds the reporting thresholds. For retire-

For re

tion ir For a that i ness,

from, Savin home income Excl

option Shild # yo incon

pleas For a

8 ŞP

### Value of Asset BLOCK B

please specify the method used. method other than fair market value, reporting year. If you use a valuation Indicate value of asset at close of

generated income, the value should be year and is included only because it "None." If an asset was sold during the reporting

ing the reporting period.

if the asset generated no income dur-

retirement accounts that do not allow Check all columns that apply. For Type of Income

gains, even if reinvested, must be that generate tax-deferred income disclosed as income. Check "None" Dividends, interest, and capital may check the "Tax-Deferred" column. (such as 401(k) plans or IRAs), you you to choose specific investments <u>or</u> interest, and capital gains, even it cate the category of income by checking earned or generated. reinvested, must be disclosed as the appropriate box below. Dividends For assets for which you checked "Taxincome. Check "None" if no income was Deferred" in Block C, you may check the "None" column. For all other assets, indi-

year.

reporting \$1,000 in or exchanges (P), sales (S) purchases

(E) exceeding

If only a

Amount of Income BLOCK D

BLOCK C

asset had Transaction Indicate if the BLOCK E

	Hom Form Alexander, Jose 76.5 A	Latimer Form 93.7 A	Modderman Farm Alexander, Lowa		- :	SP Mega Corp. Stock	rental or other real property held for investment, pro- e a complete address.  an ownership interest in a privately-held business is not publicly traded, state the name of the busi- ss, the nature of its activities, and its geographic loca- in Block A.  Stour personal residence, including second nes and vacation homes (unless there was rental contextuders the reporting period); any deposits total- \$5,000 or less in a personal checking or saving counts; and any financial interest in, or income derived in, a federal retirement program, including the Thrift rou so choose, you may indicate that an asset or one source is that of your spouse (SP) or dependent of (DC), or is jointly held with your spouse (JT), in the ional column on the far left.  a detailed discussion of Schedule III requirements, ase refer to the instruction booklet.	
					_		None	<u> </u>
				╣	Inde		\$1 - \$1,000	
				_	Indefinite	_	\$1,001 - \$15,000	÷
		<del></del>	<del></del>	_	-	×	\$15,001 - \$50,000 \$50,001 - \$100,000	
				×	-		\$100,001 - \$250,000 \$100,001 - \$250,000	п
	~		-2				\$250,001 - \$500,000	<u>.</u>
		メ		_			\$500,001 - \$1,000,000	Ξ
•							\$1,000,001 - \$5,000,000	
							\$5,000,001 - \$25,000,000	۲.
							\$25,000,001 - \$50,000,000	~
							Over \$50,000,000	٦
							NONE	
						×	DIVIDENDS	
	×	×	×	×			RENT	
							INTEREST	
						×	CAPITAL GAINS	
	 			_			EXCEPTED/BLIND TRUST	
						_	TAX-DEFERRED	
					Royalties		Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	
							None	-
						_	\$1 - \$200	=
							\$201 - \$1,000	=
						×	\$1,001 - \$2,500	_<
						<u> </u>	\$2,501 - \$5,000	<u> </u>
	<u> </u>			×		<u> </u>	\$5,001 - \$15,000	<u> </u>
	<u> </u>	<u> </u>				<u> </u>	\$15,001 - \$50,000	VII V
				_	×		\$50,001 - \$100,000	VIII IX
				_	$\hat{-}$	<del> </del>	\$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000	_ <u>×</u>
	<del>  - -</del>	<del>                                     </del>					\$1,000,001 - \$5,000,000 Over \$5,000,000	_ <u>~</u>
				_		S		
						(partial)	sold, please indicate as follows: (S) (partial) See below for example.  P, S, E	portion of an asset is
				-		_		

Continuation Sheet (if needed) SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Thomas P. Latham Page 3 of S

											e \							SP, DC, JT		
Jarion Lowa	First Citizens Notl. Eank	~	Repules Trust+Savings	L	>	expressional Federal		h	6. for toples Trust &	common Stock - Bank Holding	Green Cicle Toyestments Inc.	blue Find / CL-C	Smith Bainey Fundamental	Individual Retirement Acut.		rican Funds	401 K Pension Phy		Asset and/or Income Source	BLOCK A
																		None >		
					!	×		L			<u> </u>							\$1 \$1,000 <sup>17</sup>		
																		\$1,001 – \$15,000		
	X													×			X	\$15,001 - \$50,000	<u>\$</u>	
							L											\$50,001 - \$100,000 m	Year-End Value of Asset	TT.
										<u> </u>								\$100,001 <b>-</b> \$250,000	Year-End	BLOCKB
						L					_							\$250,001 – \$500,000 ଦ	7 10	옷
			×															\$500,001 - \$1,000,000 #	SS	JJ
											×							\$1,000,001 - \$5,000,000 -	으	
																		\$5,000,001 - \$25,000,000		
								L										\$25,000,001 - \$50,000,000 조		
																		Over \$50,000,000		
																-		NONE		
										1	×				П			DIVIDENDS		
											1							RENT		
	×		ス			×	Г			T								INTEREST	.0	
								Г										CAPITAL GAINS	<b>1 3 3 3</b>	<u></u>
									П		1	1	T	7				EXCEPTED/BLIND TRUST	Type of Incor	BLOCK C
											1						χV	TAX-DEFERRED	me	
														4			Atta	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)		
							L				×						7	None -		
		 	×			×		$oldsymbol{\perp}$	L		_						3	\$1 - \$200 =	Amount of Income	
	$\rightarrow$																C	\$201 - \$1,000 <b>=</b>	<u>ഉ</u>	
														S			7	\$1,001 - \$2,500	A	<u>B</u> E
							Ĺ							Z				\$2,501 - \$5,000 <	<u> </u>	
																		\$5,001 <b>-</b> \$15,000 ≤	ਡੂੰ	O
																		\$15,001 - \$50,000 \( \leq \) \( \leq \) \( \leq \)	💆	
								L										\$50,001 − \$100,000 <u>≦</u>	[	
									Ĺ									\$100,001 - \$1,000,000 🔻	•	
								$oxed{oxed}$										\$1,000,001 - \$5,000,000		
																		Over \$5,000,000		
																		ш ζν ,π	Transaction	BLOCK E

Continuation Sheet (if needed) SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Thomas P. Latham Page 4 of 5

			:																		SP, DC,	
								2.2								Money Market FO-CL-A	Smith Barney Municipal		Alexander Lowa	Farm Buildings		BLOCK A  Asset and/or income Source
			_																_		None > \$1 - \$1,000 co	
																	×			ħ	\$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 m	Yalu
																				140	\$100,001 - \$250,000	BLOCK B Year-End Value of Asset
																					\$500,001 - \$1,000,000	set
:																					\$25,000,001 - \$50,000,000	
																-	×			X	DIVIDENDS RENT	
																					INTEREST CAPITAL GAINS	BLOCK C Type of Incom
																					EXCEPTED/BLIND TRUST  TAX-DEFERRED  Other Type of Income	K C Se Some
ļ							•									,					(Specify: e.g., Partnership Income or Farm Income)	
																					None -	
					<u> </u>	-					 						$\times$	_		i	\$1 - \$200 =	) j
							_						-								\$201 - \$1,000	BLOCK D  Amount of Income
					<b> </b>	-	<u> </u>			$\vdash$		<u> </u>			_	<del> </del>	_			$\exists$	\$1,001 ~ \$2,500	BLOCK D
		-					<u> </u>		<u> </u>	$\vdash$									<del></del> -[	×	\$2,501 - \$5,000 <	<del>보</del> 옷
	$\vdash$			<b>-</b>		<del> </del>			<b>_</b>	$\vdash$						<u> </u>	$\square$				\$5,001 - \$15,000	ן קַּ
		_	-		<u> </u>		<u> </u>	-	<u> </u>			<u> </u>			L	Ļ			_		\$15,001 - \$50,000 \(\leq\) \(\	<u> </u>
				<u> </u>		<del>                                     </del>	$\vdash$	<b>-</b>	-		 	$\vdash\vdash\dashv$				<u> </u>	-				\$50,001 - \$100,000	<u> </u>
					<u> </u>	_	ļ		<u> </u>	$\vdash$		<b>-</b>		ļ.,		<u> </u> -	$\vdash$	-			\$100,001 - \$1,000,000	
	$\dashv$				_												$\vdash$				\$1,000,001 - \$5,000,000 ×	
					<u> </u>							_				<u> </u>					Over \$5,000,000	٠
																					m <u>σ</u> . το	BLOCK E

This page may be copied if more space is required.

# SCHEDULE IV— TRANSACTIONS

Name Thomas P. Latham

Page 5 of

										Farm Buildings, Alexander, Inn	Wedderman Farm, Alexander Iowa	Example: Mega Corpor	SP, DC, JT Asset	cate (i.e., "partial sale"). See example below.  Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	resulted in a capital loss. Provide a brief description of any exchange trans- action. Exclude transactions between you, your spouse or dependent chil- dren, or the purchase or sale of your personal residence, unless it gener-	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that	
						_								PURCHAS	SE .	Type of Transaction	
	 			<u> </u>	 					×	 ×	×		SALE		Type ansac	
									į					EXCHANG	ÈΕ	tion_	
														Check Box Gain Excee	if Capital ded \$200	0	]
										9-1-11	9-1-11	10–12–11		Monthly, or Bi-weekly, if applicable	(MO/DAY/YR)	Date	
					,									\$1,001- \$15,000	A		11
												×		\$15,001- \$50,000	Φ		$\ $
					,,,									\$50,001- \$100,000	n	Am	
			_							×				\$100,001- \$250,000	0	ount	
							_				 			\$250,001- \$500,000	m	of T	
			-								 ×			\$500,001- \$1,000,000	<b>TI</b>	Amount of Transaction	
	_		_			<u> </u>					 			\$1,000,001- \$5,000,000	<u>ດ</u>	actic	
_											 	<u> </u>		\$5,000,001- \$25,000,000		ž	$\ $
<u> </u>		-	-			-					-	_		\$25,000,001 \$50,000,000 Over		-	
L_														\$50,000,000			ال

**SYANDER IA 50420-8028** 

THOMAS LATHAM 2218 IRONWOOD CT AMES IA 50014-7872

**Account Statement** December 31, 2011

PLANTO

SSN

PLAN SPONSOR

Latham HI-Tech Hybrids Inc 401K

YOUR FINANCIAL PROFESSIONAL

MORGAN STANLEY SMITH BARNEY LLC 801 GRAND AVE STE 3800 **DES MOINES IA 50309-8006** 

Personal rate of return	Armalized 5.53%	-6.17%
Ending balance as of 12/31/11	\$34,171.99	\$34,171.99
- Plan fees	\$0.00	\$0,00
- Withdrawals	\$0.00	\$0.00
± Investment gain/decline	\$11,984.77	-\$2,247.73
+ Contributions	\$5,821.70	\$0.00
Beginning balance	\$16,365.52	\$36,419.72
	Since initial investment on 05/08/2003	Year-to-date since 01/01/2011
/ested balance		\$34,171.99
otal value of your account		\$34,171.99

### Your account value history = Value of account m= = Net contributions\* \$38,695 \$32,246 \$25,796 \$19,347 \$12,898 \$6,449 05/01/03 12/31/03 12/31/05 12/31/07 12/31/11 12/31/09 \$16,366 \$21,236 \$29,048 \$38,695 \$32,537 \$34,172 \* Net contributions reflect total investments (contributions plus, if applicable, converted balances, rollovers and loan repayments) less the amounts withdrawn and any plan fees.

### Wishing you a joyful New Year

This statement shows your 4th Quarter account activity for 2011, so you may want to save it with your financial records. We appreciate the opportunity to serve as your retirement investment manager. Thank you and Happy New Year.

### This year is 'next year'

Learn in "Your Retirement Resource" how you can make 2012 the year you put your retirement resolutions into action.

### For more account information

By Internet

americanfunds.com/retire

Automated phone service (24 hours/day)

877/833-9322

Retirement plan services representative

9:00 a.m. to 8:00 p.m. Eastern time, M-F 800/421-6019

Call your financial professional

Jamie Constantine, Bryan Boesen 515/283-7059

**ALEXANDER 1A 50420-8028** 

### Account Statement December 31, 2011

PLAN ID

PARTICIPANT

Thomas Latham

SSN

	Transaction		Dollar	Share	Shares this	Total
Fund name	date	Description	amount	price	transaction	shares
The Growth Fund of Am	erica-R2					
	10/01/11	Beginning Balance	\$21,062.70	\$25.92		812.604
	12/31/11	Ending Balance	\$22,817.92	\$28.08		812.604
New Perspective Fund-	R2					
• .	10/01/11	Beginning Balance	\$6,055.45	\$24.15		250.743
	12/27/11	Dividend Reinvest	\$15.25	\$25.59	0.596	251.339
	12/31/11	Ending Balance	\$6,421.72	\$25.55		251.339
EuroPacific Growth Fun	d-R2				<del></del>	
	10/01/11	Beginning Balance	\$1,023.45	\$33.10		30.920
	12/27/11	Dividend Reinvest	\$8.55	\$34.26	0.250	31.170
	12/31/11	Ending Balance	\$1,067.89	\$34.26		31.170
SMALLCAP World Fund						<del></del>
	10/01/11	Beginning Balance	\$1,051.36	\$30.07		34.96
	12/31/11	Ending Balance	\$1,110.80	\$31.77		34.96
New World Fund-R2						
	10/01/11	Beginning Balance	\$423.82	\$43.42		9.76
	12/27/11	Dividend Reinvest	\$3.57	\$45.02	0.079	9.84
	12/31/11	Ending Balance	<b>\$442.41</b>	\$44.96		9.84
Washington Mutual Inv	estors Fund-R2					
•	10/01/11	Beginning Balance	\$1,960.68	\$25.40		77.19
	12/16/11	Dividend Reinvest	\$7.58	\$27.21	0.27 <del>9</del>	77.47
	12/16/11	Dividend Reinvest	\$4.10	\$27.21	0.150	77.62
	12/16/11	Dividend Reinvest	\$1.01	\$27.21	0.037	77.65
	12/16/11	Dividend Reinvest	\$0.53	\$27.21	0.020	77.67
	12/31/11	Ending Balance	\$2,184.31	\$28.12		77.67
American Funds Money	Market Fund-F	2				
	10/01/11	Beginning Balance	\$126.94	\$1.00		126.94
	12/31/11	Ending Balance	\$126.94	\$1.00		126.94

### Information about your investments

### Your responsibilities

Please review this statement carefully to confirm that we have accurately acted on your instructions. If you identify any discrepancies, please immediately notify your plan's financial professional or call us at the number shown on the first page of your statement. If you delay in reporting an error, we may be unable to adjust your account.

### Personal rate of return

Your personal rate of return represents the combined result of all the investment(s) you have selected for your portfolio. The calculation includes any front-end sales charges and all activity in your account (such as contributions, exchanges among investment options, etc.) using daily share price in effect